



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,549	04/02/2001	Yoshimitsu Nakashima	55,652 (70840)

CONFIRMATION NO. 9425

## FORMALITIES LETTER


 Dike, Bronstein, Roberts & Cushman  
 Intellectual Property Practice Group  
 EDWARDS & ANGELL, LLP  
 130 Water Street  
 Boston, MA 02109

07/25/2001 MARDI1 00000015 09824549

01 FC:101	710.00 OP
02 FC:102	160.00 OP
03 FC:105	130.00 OP

Date Mailed: 05/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$160.  
    ▪ \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1000.

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*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**70840-55652**

In Re Application Of: **Yoshimitsu Nakashima**

Serial No.  
**09/824,549**

Filing Date  
**04/02/2001**

Examiner  
**Unknown**

Group Art Unit  
**2878**

Invention:

**SOLID-STATE IMAGING DEVICE AND METHOD FOR PRODUCING THE SAME**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

**May 17, 2001**

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Check - \$1,000.00 - Filing Fee, Excess Claims Fee and Surcharge**  
**Assignment/ Recordation Form Cover Sheet**  
**Check - \$40.00 - Assignment Recordal Fee**  
**Stamped Postcard Receipt**



**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**70840-55652**

In Re Application Of: **Yoshimitsu Nakashima**

Serial No.  
**09/824,549**

Filing Date  
**04/02/2001**

Examiner  
**Unknown**

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**2878**

Invention:

**SOLID-STATE IMAGING DEVICE AND METHOD FOR PRODUCING THE SAME**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☒ Completion of application fees as calculated below:

☒ Utility application filing fee **\$710.00**

☐ Design application filing fee

☒ Total number of independent claims = 5 **\$160.00**

☒ Total number of claims = 8

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$1,000.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_  
Date

until: \_\_\_\_\_  
Date

Total time extension fees

Total fees due **\$1,000.00**



**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
70840-55652

In Re Application Of: Yoshimitsu Nakashima

Serial No.  
09/824,549

Filing Date  
04/02/2001

Examiner  
Unknown

Group Art Unit  
2878

Invention:

**SOLID-STATE IMAGING DEVICE AND METHOD FOR PRODUCING THE SAME**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,000.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-1105  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 04-1105  
A duplicate copy of this sheet is enclosed.

*Signature*

Dated: July 17, 2001

David G. Conlin  
Registration No. 27026  
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Intellectual Property Practice Group  
Edwards & Angell  
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I certify that this document and fee is being deposited on July 17, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

Louise M. Rappaport

*Typed or Printed Name of Person Mailing Correspondence*

CC: